

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Arthur A. Alfaro Examiner: not yet assigned

Serial No.: Group Art Unit: not yet assigned

Filed: January 26, 2003 Date: January 26, 2004

For: CARDIAC DEFECT OCCLUSION DEVICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed on the attached form PTO-1449 is brought to the attention of the Examiner.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to a substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service on this date January 26, 2004, in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV333229222US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Yuri Kateshov

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- This information disclosure statement is being filed within three (3) months of the filing date of this application.
- This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.
- To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- Enclosed herewith is a certificate under 37 C.F.R. § 1.97(e)(1).
- Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii).
- Enclosed by check is the petition fee of \$130.00. 37 C.F.R. § 1.17(i)(1))
- Please charge the **\$130.00** petition fee to Deposit Account No. **04-1121**.
- Enclosed by check is the **\$180.00** fee required by 37 C.F.R. § 1.17(p).
- Please charge the **\$180.00** fee required by 37 C.F.R. § 1.17(p) to Deposit Account No. **04-1121**.

Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account **04-1121**. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. **04-1121** therefor.

TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,



Yuri Kateshov
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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 1230-2	SERIAL NO.		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANTS Arthur Alfaro					
		FILING DATE January 24, 2003		GROUP ART UNIT not yet assigned			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,814,097	09/29/98	Sterman et al.			
		6,221,066	04/21/01	Ferrera et al.			
		5,425,744	06/20/95	Fagan et al.			
		6,206,907	03/27/01	Marino et al.			
		6,306,153	10/23/01	Kurz et al.			
		6,485,489	11/26/02	Teirstein et al.			
		5,919,200	07/06/99	Stambaugh et al.			
		5,713,951	03/03/98	Garrison et al.			
		6,293,960	09/25/01	Ken			
		6,379,368	04/30/02	Corcoran et al.			
		6,334,864	01/01/02	Amplatz et al.			
		6,375,458	04/23/02	Moorlegem et al.			
		6,458,100	10/01/02	Roue et al.			
		6,383,204	05/07/02	Ferrera et al.			
		6,402,772	06/11/02	Amplatz et al.			
		5,725,552	03/10/98	Kotula et al.			
		4,007,743	02/15/97	Blake			
		5,451,235	09/19/95	Lock et al.			
		5,123,715	09/26/00	Amplatz			
		5,846,261	12/08/98	Kotula et al.			
		5,997,526	12/07/99	Giba et al.			
		6,319,267	11/20/01	Kurz			
		2001/0037129	11/01/01	Thill			
		2002/0068950	06/06/02	Corcoran			
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>							
(Form PTO-1449 [6-4])							